

Applicant or Patentee: Dillis V. Allen Attorney's
Serial or Patent No.: _____ Docket No.: G-33
Files or Issued: _____
For: IMPROVED GOLF CLUB FACE FLEXURE CONTROL SYSTEM

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9 (f) and 1.27 (b)) - INDEPENDENT INVENTOR

As a below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR 1.9 (c) for purposes of paying reduced fees under section 41 (a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the invention entitled IMPROVED GOLF CLUB FACE FLEXURE CONTROL SYSTEM described in

- ☒ the specification filed herewith
☐ application serial no. _____, filed _____
☐ patent no. _____, issued _____

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who could not be classified as an independent inventor under 37 CFR 1.9 (c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9 (d) or a nonprofit organization under 37 CFR 1.9 (e).

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract of law to assign, grant, convey, or license any rights in the invention is listed below:

- ☐ no such person, concern, or organization
☒ persons, concern or organizations listed below*

*NOTE: Separate verified statements are required from each named person, concern, or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

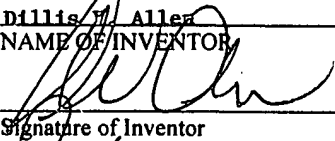
FULL NAME VARDON GOLF COMPANY, INC.
ADDRESS 1080 Nerge Road - Suite 205, Elk Grove Village, Illinois 60007
☐ INDIVIDUAL ☒ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

FULL NAME _____
ADDRESS _____
☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

FULL NAME _____
ADDRESS _____
☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28 (b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Dillis V. Allen
NAME OF INVENTOR _____ NAME OF INVENTOR _____ NAME OF INVENTOR _____

Signature of Inventor _____ Signature of Inventor _____ Signature of Inventor _____
July 6, 2000
Date _____ Date _____ Date _____

Applicant or Patentee: Dillis V. Allen Allen's
Serial or Patent No.: _____ Locket No.: G-33
Filed or Issued: _____
For: IMPROVED GOLF CLUB FACE FLEXURE CONTROL SYSTEM

VERIFIED STATEMENT (DECLARATION). CLAIMING SMALL ENTITY STATUS
(37 cfr 1.9 (f) and 1.27 (c)) - SMALL BUSINESS CONCERN

I hereby declare that I am

- (X) the owner of the small business concern identified below:
() an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF CONCERN Vardon Golf Company, Inc.
ADDRESS OF CONCERN 1080 Nerge Road - Suite 205
Elk Grove Village, Illinois 60007

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9 (d), for purposes of paying reduced fees under section 41 (a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled, IMPROVED GOLF CLUB FACE FLEXURE SYSTEM
by inventor(s) Dillis V. Allen

described in

- (X) the specification filed herewith
() application serial no. _____, filed _____
() patent no. _____, issued _____

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR 1.9 (d) or by any concern which would not qualify as a small business concern under 37 CFR 1.9 (d) or a nonprofit organization under 37 CFR 1.9 (e).
*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

NAME WARDON GOLF COMPANY, INC.
ADDRESS 1080 Nerge Road - Suite 205, Elk Grove Village, Illinois 60007
() INDIVIDUAL (X) SMALL BUSINESS CONCERN () NONPROFIT ORGANIZATION

NAME _____
ADDRESS _____
() INDIVIDUAL () SMALL BUSINESS CONCERN () NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28 (b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING Dillis V. Allen
TITLE OF PERSON OTHER THAN OWNER _____
ADDRESS OF PERSON SIGNING 1080 Nerge Road, Suite 205, Elk Grove Village, IL 60007

DATE July 6, 2000

OATH

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name; that

I believe I am the original, first and sole inventor(if only one name is listed below), or an original, first and joint inventor(if plural names are listed below) of the improvement in the IMPROVED GOLF CLUB FACE FLEXURE CONTROL SYSTEM, Case G33, described and claimed in the foregoing specification; that this application in part discloses and claims subject matter disclosed in my earlier filed application, United States Serial No. 09/344,172, Filed: June 24, 1999, entitled GOLF CLUB FACE FLEXURE CONTROL SYSTEM; that I acknowledge my duty to disclose information of which I am aware which is material to the examination of this application;

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims of this specification, and that as to the subject matter of this application which is common to said earlier application, I do not know and do not believe that the same was ever known or used in the United States of America before my invention thereof or patented or described in any printed publication in any country before my invention thereof or more than one year prior to said earlier application, or in public use or on sale in the United States of America more than one year prior to said earlier application; that said common subject has not been patented or made the subject of an inventor's certificate issued before the date of said earlier application in any country foreign to the United States of America on an application filed by me or my legal representatives or assigns more than twelve (12) months prior to said earlier application; and that no application for patent or inventor's certificate on said invention has been filed by me or my representatives or assigns in any country foreign to the United States of America, except as follows: NONE.

That as to the subject matter of this application which is not common to said earlier application, I do not know and do not believe that the same was ever known or used in the United States of America before my invention thereof or patented or described in any printed publication in any country before my invention thereof or more than one year prior to the date of this application, or in public use or on sale in the United States of America more than one year prior to the date of this application, and that said subject matter has not been patented or made the subject of an inventor's certificate issued in any country foreign to the United States of America on an application filed by me or my legal representatives or assigns more than twelve months prior to the date of this application; and

09514107.071200

That no application for patent or inventor's certificate in said invention has been filed by me or my representatives or assigns in any country foreign to the United States of America except as follows: None.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued.

I hereby appoint DILLIS V. ALLEN, Esq., Registration No. 22,460, 1080 Nerge Road, Suite 205, Elk Grove Village, Illinois 60007, my attorney, with full power of substitution and revocation, to prosecute this application, to make alterations and amendments therein, to receive the patent, and to transact all business in the Patent and Trademark Office connected therewith.

All official correspondence should be forwarded to Dillis V. Allen, Esq., 1080 Nerge Road, Suite 205, Elk Grove Village, Illinois 60007; Telephone No. 847/898-9100.

Full name of sole or first inventor: Dillis V. Allen

Inventor's signature [Signature] Date July 6, 2000

Residence: 31W211 Route 58, Elgin, Illinois 60120

Citizenship: United States

Post Office Address: 31W211 Route 58, Elgin, Illinois 60120

Full name of second inventor:

Inventor's signature _____ Date _____

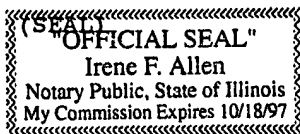
Residence:

Citizenship:

Post Office Address:

STATE OF ILLINOIS)
COUNTY OF COOK)

Personally came before me this 6th day of July, 2000, the above-named Dillis V. Allen, to me known to be the same person who executed the foregoing instrument and acknowledged the same.



[Signature]
Notary Public
My commission expires 10-18-01.



00614407-071200